SUSTAINABLE INVESTMENT POLICY



Deen Dayal Upadhyaya Gorakhpur University A⁺⁺ Accredited by NAAC (3.78 CGPA); https://ddugu.ac.in Gorakhpur-273009 Uttar Pradesh, India

1. Introduction

Environmental, social, and governance (ESG) considerations are important to take into account while making investment decisions, according to Deen Dayal Upadhyaya Gorakhpur University in Gorakhpur. With the goal of encouraging ethical and responsible investing practices and helping the university attain favourable financial returns, this sustainable investment policy seeks to direct the institution in matching its investments with sustainability principles.

2. **Objectives**

The key objectives of the Sustainable Investment Policy are as follows:

- a. <u>ESG Integration</u>: To incorporate social, governance, and environmental considerations into the analysis and decision-making of investments.
- b. <u>Positive Impact</u>: To search for potential investments that support social welfare, preservation of the environment, and sustainable development.
- c. <u>Responsible Investment:</u> To avoid investing in sectors of the economy or businesses doing activities that have a significant detrimental influence on the environment or society.
- d. <u>Long-Term Perspective</u>: To adopt a long-term investment prospect that considers the sustainability of investments over time.

3. Policy Guidelines

- a. <u>ESG Standards and Due Diligence:</u> The University will create ESG standards and thoroughly investigate any possible investments. This will entail evaluating the governance procedures, ethical behavior, social impact, and environmental performance of businesses.
- b. <u>Exclusionary filters:</u> The University will put exclusionary filters in place to steer clear of investments in sectors that adversely affected the environment, such tobacco, weapons, fossil fuels, and businesses with a track record of violating human rights.
- c. <u>Affirmative Assessment:</u> The University will aggressively look for investment opportunities in clean technology, renewable energy, socially conscious firms, and healthcare that are in line with sustainable principles.
- d. <u>Participation and Demonstration:</u> The University will interact with the businesses that make up its investment portfolio in order to promote better ESG transparency and practices. It might also take part in cooperative projects or shareholder advocacy campaigns to advance sustainability and business ethics.
- e. <u>Risk Management</u>: The investment plan will be centered on efficiently managing risk while maintaining compliance with the sustainability objectives of the university.
- f. <u>Assessment of Performance:</u> The intended balance between returns and responsible investment will be maintained by the University by periodically assessing the sustainability and financial performance of its investment portfolio.

4. Reporting and Transparency

a. <u>Public Disclosure</u>: The University will publicly disclose its Sustainable Investment Policy, providing transparency to stakeholders and the broader community.

b. <u>Annual Reporting:</u> The University will publish regular reports on the performance and composition of its investment portfolio, including ESG considerations and impact assessments.

5. Compliance and Review

- a. <u>Compliance</u>: The University will ensure compliance with all relevant laws, regulations, and ethical standards related to sustainable investing.
- b. <u>Periodic Review</u>: The Sustainable Investment Policy will undergo periodic review to ensure it remains up-to-date with evolving best practices and reflects the university's commitment to sustainability.

6. Conclusion

The University recognizes that sustainability concerns are essential for attaining longterm financial success while making a positive impact on a more sustainable and equitable world, and it hopes to demonstrate this commitment to ethical and responsible investing through its Sustainable Investment Policy. The University aims to be a pioneer in sustainable finance within the academic community and a constructive force for change by incorporating ESG principles into its investment decisions.