



दीनदयाल उपाध्याय गोरखपुर विश्वविद्यालय, गोरखपुर

Deen Dayal Upadhyaya Gorakhpur University, Gorakhpur

**PERFORMANCE BASED APPRAISAL SYSTEM (PBAS) PROFORMA
FOR
PROMOTION UNDER CAREER ADVANCEMENT SCHEME (CAS)**

Position and Grade Pay Applied for Promotion under CAS :

.....

Date of Last Promotion : Date of Eligibility for Promotion :

.....

PART A : GENERAL INFORMATION

01. Name (in Block Letters) :

02. Date and Place of Birth :

03. Gender (M/F/T) :

04. Father's Name :

05. Mother's Name :

06. Nationality :

07. (a) Present Position : (b) Date from :

.....

(c) Pay Scale : (d) Grade Pay :

.....

(d) Whether Direct or Under CAS :

.....

(e) Name of Department / Subject :

.....

08. Marital Status : *if married*, Spouse Name :

09. Aadhar Number :

10. Category (SC/ST/OBC/General) : 11. Physically Challenged :

.....

12. (a) Address for Correspondence

:

(with Pin code)

.....

.....

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(b) Permanent Address :

(with Pin code)

13. Telephone/Mobile No. : E-Mail ID :

14. Academic Qualifications [if attached saperatly give the Page No. to attachment] :

Examination	Name of Institute	Board/ University	Year Admitted	Year Completed	Percentage / CGPA	Division/ Class/Grade	Distinction (if any)	Subjects
High School								
Intermediate								
Graduation								
LL.B.								
P.G./ LL.M.								
Others								

15. Research Degree(s) [if any attached saperatly give the Page No. to attachment] :

Name of Degrees	Specialization	Institution/ University	Status	Whether Ph.D. degree awarded as per UGC Regulation 2009 (in case of Doctoral and Awarded)	Date of Thesis Submission	Date of Award of Degree	Title of Thesis
M.Phil.							
Ph.D./D.Phil.							
D.Sc./D.Litt.							

16. Whether Qualified NET/SLET etc. conducted by UGC/CSIR/ICAR/State? :

Type	Agency Name	Roll No.	Passing Month	Passing Year
NET / SLET / SET				

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17. Appointments Held prior to Joining this Institution :

Designation	Name of Employer	Status of Organisation/ Institution/ University	Date of Joining		Salary with Grade	Nature of Employment	Reason of leaving
			Joining	Leaving			

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18. Posts held after appointment at this institution:

Designation	Department	Date of actual Joining		Grade
		From	To	

19. Teaching Experience (Under Graduate and Post Graduate Levels) :

Classes Taught (in hours)		Period		Total in Year
		From	To	
UG				
PG				

20. Research experience excluding years spent in M.Phil/Ph.D.(in years) :

.....

21. Fields of Specialization under the Subject/Discipline :

22. Academic Staff College Orientation /Refresher Course attended :

Name of the Course/ Summer School	Place	Duration	Sponsoring Agency

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PRECIS (IN 10 COPIES)

		Name and Address of the Candidates Mobile No. & E-Mail	
		Date of Birth	
		Category	
		QUALIFICATIONS	
		Exam Passed	
		Division	
		Year	
		Percentage	
1. Book Published as Single Author - 2. Published Papers in Journals - 3. Articles/Chapters Published in Books - 4. Papers Presented in Conferences, Seminars, Workshops, Symposia-	5. Invited Lectures at National or International Conference/ Seminars - 6. Sponsored Projects - 7. Consultancy Projects - 8. Refresher/Orientation Course - 9. Research Guidance : Submitted-....., Awarded -.....	Number of Research and Publications	
		Teaching Experience	
		Remark	

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PART B : ACADEMIC PERFORMANCE INDICATORS (API) FOR CAREER ADVANCEMENT SCHEME (CAS) PROMOTIONS FOR ASSISTANT PROFESSOR, ASSOCIATE PROFESSOR AND PROFESSOR

(Please see detailed instructions of this PBAS Proforma before filling out this section)

	Direct Teaching Hours per Week
Assistant Professor	16
Associate Professor	14
Professor	14

CATEGORY I: TEACHING, LEARNING AND EVALUATION RELATED ACTIVITIES

Category	Nature of Activity	Assistant Professor		Associate Professor		Professor		Encl. with Page No.
		Max. Score	Actual Score	Max. Score	Actual Score	Max. Score	Actual Score	
I	a. Direct Teaching	70	Actual hours spent per academic year $\div 7.5$	60	Actual hours spent per academic year $\div 7.5$	60	Actual hours spent per academic year $\div 7.5$	
	Give your Score Here -							
	b. Examination duties (question paper setting, Invigilation, evaluation of answer scripts) as per allotment	20	Actual hours spent per academic year $\div 10$	20	Actual hours spent per academic year $\div 10$	10	Actual hours spent per academic year $\div 10$	
	Give your Score Here -							
	c. Innovative Teaching-learning methodologies, updating of subject contents/ courses, mentoring etc.	10	Actual hours spent per academic year $\div 10$	15	Actual hours spent per academic year $\div 10$	20	Actual hours spent per academic year $\div 10$	
	Give your Score Here -							

NOTE :

1. Direct Teaching 16/14/14 hours per week include the Lectures/Tutorials/Practical's/Project Supervision/Field Work.,
2. University may prescribe minimum cut-off, say 75%, below which no scores may be assigned in these sub-categories.
3. In consonance with established academic and teaching traditions, and with a view to reinforcing a student-centric and caring approach the teachers are encouraged to work with students, beyond the structure of classroom teaching. Indicatively, this could entail mentoring, guiding and counseling students. In particular teachers would be the best placed to identify and address the needs of students who may be differently abled, or require assistance to improve their academic performance, or to overcome a disadvantage. There are no prescribed hours for such efforts, measured either in weeks or months, or in the context and calculation of the API scores, these are nevertheless important and significant activities that could be carried out by teachers.

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CATEGORY II : PROFESSIONAL DEVELOPMENT, CO-CURRICULAR, EXTENSION RELATED ACTIVITIES

Please mention your contribution to any of the following :

Category II	Nature of Activity	Maximum API Score	Actual score	API Score claimed by Applicant
a.	Student related co-curricular, extension and field based activities. (i) Discipline related co-curricular activities (e.g. remedial classes, career counselling, study visit, student seminar and other events. (ii) Other co-curricular activities (Cultural, Sports, NSS, NCC etc.) (iii) Extension and dissemination activities (public / popular lectures / talks / seminars etc.)	15	Actual hours spent per academic year \div 10	
b.	Contribution to corporate life and management of the department and institution through participation in academic and administrative committees and responsibilities. (i) Administrative responsibility (including as Dean / Principal / Chairperson / Convener / Teacher-in-charge / similar other duties that require regular office hrs for its discharge) (ii) Participation in Board of Studies, Academic and Administrative Committees	15	Actual hours spent per academic year \div 10	
c.	Professional Development activities (such as participation in seminars, conferences, short term training courses, industrial experience, talks, lectures in refresher / faculty development courses, dissemination and general articles and any other contribution	15	Actual hours spent per academic year \div 10	

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CATEGORY-III: RESEARCH AND ACADEMIC CONTRIBUTIONS

III (A) Research Papers Published in Journals :

Sl. No.	Title with page nos.	Journals as notified by the UGC	ISSN/ISBN No.	Whether peer reviewed impact factor, if any	No. of co-authors	Whether you are the main author	API Score	Sl. No. of Journal in UGC List	Page No. of attachment

(As notified by the UGC) Refereed Journal - 25 per Publication, Other reputed Journals - 10 per Publications*

III (B) Publications other than Journal Articles (Book, Chapters in Books)

III (B) (i) Books Published as Author

Sl. No.	Title with page nos.	Type of Book & Authorship	Publisher & ISSN/ISBN No.	Whether peer reviewed	No. of co-authors	Whether you are the main author	API Score	Page No. of attachment

III (B) (ii) Articles/Chapters published in Books

Sl. No.	Title with page nos.	Book Title, editor & publisher	ISSN/ISBN No.	Whether peer reviewed	No. of co-authors	Whether you are the main author	API Score	Page No. of attachment

(The List will be intimate to UGC) for Book(s) :

International Publishers - 30 per Book for Single Author, National Publisher - 20 per Book for Single Author, Local Publishers - 15 per Book for Single Author

(The List will be intimate to UGC) for Chapter(s) :

International Publishers - 10 per Chapter, National Publisher - 05 per Chapter.

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III (C) Research Projects :

III (C) (i) Sponsored Projects :

S.No.	Title	Agency	Period	Grant / Amount Mobilized (Rs. in Lakh)	API Score	Page No. of attachment

(C) (ii) Consultancy Projects :

S.No.	Title	Agency	Period	Grant / Amount Mobilized (Rs.in Lakh)	Whether policy document/ patent as outcome	API Score	Page No. of attachment

(C) (iii) Projects / Outcum / Output :

S.No.	Title	Agency	Period	Grant / Amount Mobilized (Rs.in Lakh)	Whether policy document/ patent as outcome	API Score	Page No. of attachment

III (D) Research Guidance :

S.No.	Course	Thesis Submitted	Degree awarded	API Score	Page No. of attachment
(i)	M.Phil. or equivalent				
(ii)	Ph.D. or equivalent				

M.Phil - 05 per candidate, **Ph.D. Awarded** - 15 / **Submitted** - 10 per condidate.

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III (E) : Fellowships, Awards and Invited Lectures delivered in Conferences / Seminars

(i) Fellowships / Awards :

Fellowship / Awards	Programme (International/ National/ State / Universities Level)	Duration	Organized by	API Score	Page No. of attachment

III (E) (ii) (a) Invited Lectures / Papers :

Sl. No.	Title of the Lecture/Academic Session	Title of Conference/ Seminar etc.	Organized by	Whether international/ national/state/university level	API Score	Page No. of attachmen t
The score under this sub-category shall be restricted to 20% of the minimum fixed for Category III for any assessment period.						

III (F) : Development of e-learning delivery process / material :

Sl. No.	Name of developed e-delivering process/ Material (with full details) (10 per module)	API Score	Page No. of attachment

* Wherever relevant to any specific discipline, the API score for paper in refereed journal would be augmented as follows: (i) paper with impact factor less than 1 - by 5 points; (ii) papers with impact factor between 1 and 2 by 10 points; (iii) papers with impact factor between 2 and 5 by 15 points; (iv) papers with impact factor between 5 and 10 by 20 points; (v) papers with impact factor above 10 by 25 points. The API for joint publications shall be calculated in the following manner: Of the total score for the relevant category of publication by the concerned teacher, the First and Principal / corresponding author / supervisor / mentor would share equally 70% of the total points and the remaining 30% would be shared equally by all other authors.

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(i) Summary of API Scores :

Cat ego ry	CRITERIA	Last Academic Year	Total API Score for Assessment Period	Annual Av.API Score for Assessment Period
I	Teaching, Learning, Evaluation Related Activities			
II	Co- curricular, Extension, Professional development etc.			
III	Research and Academic Contribution			
	Total (II + III)			

Summary of points out of Total API points earned as per category III

Total API points Claimed :

PART C : OTHER RELEVANT INFORMATION

Please give details of any other credential, significant contributions, award received etc. not mentioned earlier.

Sl. No.	Details (Mention Year, value etc. where relevant)

Number of Enclosures (Please attach, copies of certificates, sanction orders, papers etc. where ever necessary):

I certify that the information provided is correct as per records available with the university and/ or documents enclosed along with the duly filled **PBAS** proforma.

Place :

Date :

Signature of faculty with Designation

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Signature of HOD (with Seal)

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